



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Norihiko FUCHIGAMI

Serial No. 09/944,121

Art Unit:

Filed: September 4, 2001

Examiner:

For: AUDIO DATA RECORDING DISC

Atty Docket: 0102/0178

INFORMATION DISCLOSURE STATEMENT
FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. All foreign art and/or publications listed are attached herewith for the examiner's consideration.

A concise explanation of the non-English documents are attached.

These documents are being submitted

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

☐ concurrently with the filing of the RCE pursuant to 37 CFR 1.114

OR

☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)

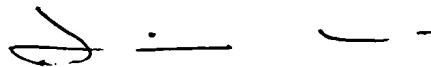
☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))

☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the _____ Patent Office in a counterpart application. (37 CFR §1.97(e)(1))

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

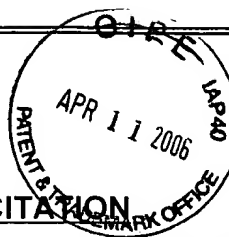


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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Atty Docket
0102/0178Serial No.
09/944,121Applicant
Norihiko FUCHIGAMIFiling Date
September 4, 2001

Group Art Unit

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Trans-lation
	AF	11-134844	05/21/1999	Japan			Eng Abstr
	AG	08-221948	08/30/1996	Japan			Eng Abstr
	AH	2000-132908	05/12/2000	Japan			Eng Abstr
	AI	10-116474	05/06/1998	Japan			Eng Abstr
	AJ	11-027618	01/29/1999	Japan			Eng Abstr
	AK	07-176176	07/14/1995	Japan			Eng Abstr
	AL	07-288712	10/31/1995	Japan			Eng Abstr
	AM	2000-287189	10/13/2000	Japan			Eng Abstr
	AN	WO 99/64969	12/16/1999	WO			
	AQ	2002-517862	06/18/2002	Japan			
	AP	09-265765	10/07/1997	Japan			Eng Abstr
	AQ						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.